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| IDS Form PTO/SB/08: Substitute for form 1449A/PTO  |   |    |   | <b>Complete if Known</b>      |                       |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |   | <i>Application Number</i>     | 10/541,071            |
|  |   |    |   | <i>Filing Date</i>            | April 5, 2006         |
|  |   |    |   | <i>First Named Inventor</i>   | Daniele FACCIO et al. |
|  |   |    |   | <i>Art Unit</i>               | 2874                  |
|  |   |    |   | <i>Examiner Name</i>          | Kim, Ellen E.         |
|  |   |    |   | <i>Attorney Docket Number</i> | 05788.0373-00000      |
| Sheet  | 1 | of | 1 |                               |                       |

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | Translation <sup>6</sup> |
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| EK                             |                       | P.P. Absil et al., "Compact Microring Notch Filters," IEEE Photonics Technology Letters, Vol. 12, No. 4, pp. 398-400, April 2000.   |                          |
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|-----------------------|-----------|--------------------|----------|
| Examiner<br>Signature | Ellen Kim | Date<br>Considered | 10/22/07 |
|-----------------------|-----------|--------------------|----------|

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